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\$SECTOR #3

Attorney Docket No.: A2A-101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attention: Initial Patent Examination Division

Applicant: Bernard G. FREELAND

Title: System and Method for
Facilitating Asset-Based
Financing in a Private Sale

Intl Appl No: 09/800,621

Intl Filing Date: 07 MAR 2001

) I hereby certify that this correspondence
) has been sent by FIRST CLASS MAIL
) and has been addressed to the
) Assistant Commissioner for Patents
) Washington, DC, 20231.
)
)

) Susan Vanderwalker 22 May 2001
) Susan Vanderwalker date

**COMMUNICATION REGARDING
LATE SUBMISSION OF DECLARATION AND
POWER OF ATTORNEY DOCUMENT**

The Honorable Commissioner
of Patents and Trademarks
Washington, DC 20231

Dear Sir:

On March 7, 2001, the above-referenced Application was submitted without an Oath or Declaration pursuant to Rule 1.53. A *Notice to File Missing Parts of Application, Filing Date Granted*, dated April 19, 2001, has been received by Applicant. A copy is enclosed along with the required *Declaration and Power of Attorney* document.

The Applicant is a Small Entity. You are hereby authorized to deduct the amount of \$65.00 from our Deposit Account, No. 02-0400 to cover the surcharge in accordance with Rule 1.16(e). If additional fees are required at this time, **When identifying such a withdrawal, please use our Attorney Docket No. A2A-101.**

Respectfully,

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David I. Roche
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UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/800,621	03/07/2001	Bernard G. Freeland	A2A-101

CONFIRMATION NO. 7479

FORMALITIES LETTER



OC000000005984511

 David I. ROCHE
 Baker & McKenzie
 130 E. Rondolph Drive
 Chicago, IL 60601

Date Mailed: 04/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

 Customer Service Center
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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